

# Electron heating in electronegative capacitively coupled discharge of complex chemistry

Jón Tómas Guðmundsson<sup>1,2</sup>

<sup>1</sup>Department of Space and Plasma Physics,  
KTH – Royal Institute of Technology, Stockholm, Sweden  
<sup>2</sup>Science Institute, University of Iceland, Reykjavík, Iceland

tumi@hi.is

71<sup>st</sup> Gaseous Electronics Conference  
Portland, Oregon  
November 8, 2018



# Introduction

- Oxygen forms a weakly electronegative discharge
- The oxygen chemistry is rather involved, in particular due to the presence of **metastable molecular and atomic** oxygen and their role in dissociative attachment and detachment processes
- We use the `oopd1` (objective oriented plasma device for one dimension) **particle-in-cell Monte Carlo collision** code to simulate the discharge
- It has 1 dimension in space and 3 velocity components for particles (1d-3v)
- It is developed to simulate various types of plasmas, including processing discharges, accelerators and beams
  - Modular structure
  - Includes relativistic kinematics
  - Particles can have different weights



- The oxygen discharge
- Capacitively Coupled Oxygen Discharge at 13.56 MHz
  - including both  $O_2(a^1\Delta_g)$  and  $O_2(b^1\Sigma_g)$
  - including secondary electron emission
- Pressure dependence
- Frequency dependence
- Dependence on surface quenching of  $O_2(a^1\Delta_g)$
- The effect of  $\gamma_{\text{see}}(E)$
- Summary

# The oxygen discharge

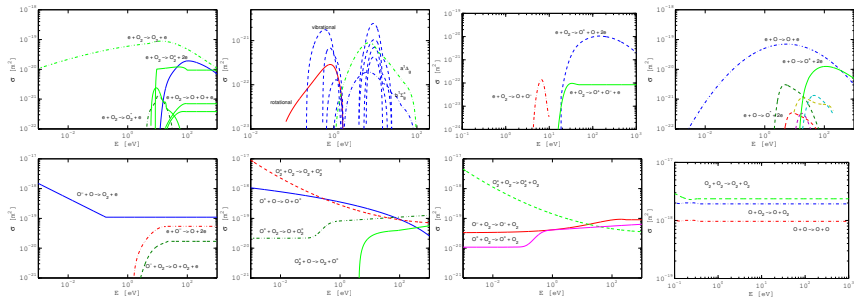




# The oxygen discharge

- We consider a discharge that consists of:
  - electrons
  - the ground state oxygen molecule  $O_2(X^3\Sigma_g^-)$
  - the metastable oxygen molecule  $O_2(a^1\Delta_g)$
  - the metastable oxygen molecule  $O_2(b^1\Sigma_g)$
  - the ground state oxygen atom  $O(^3P)$
  - the metastable oxygen atom  $O(^1D)$
  - the negative oxygen ion  $O^-$
  - the positive oxygen ions  $O^+$  and  $O_2^+$
- The discharge model includes energy dependent secondary electron emission yield
- We assume a parallel plate capacitively coupled oxygen discharge at with electrode separation of 4.5 cm
- We apply a global model<sup>1</sup> beforehand to calculate the partial pressure of the various neutrals

# The oxygen discharge



- The reaction set for the oxygen is comprehensive and for this study includes up to 67 reactions

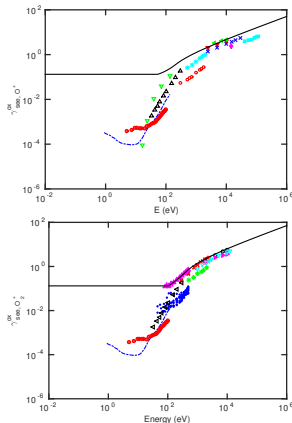
Gudmundsson et al., *Plasma Sources Sci. Technol.*, **22** 035011 (2013)

Gudmundsson and Lieberman, *Plasma Sources Sci. Technol.*, **24** 035016 (2015)

Hannesdottir and Gudmundsson, *Plasma Sources Sci. Technol.*, **25** 055002 (2016)

# The oxygen discharge

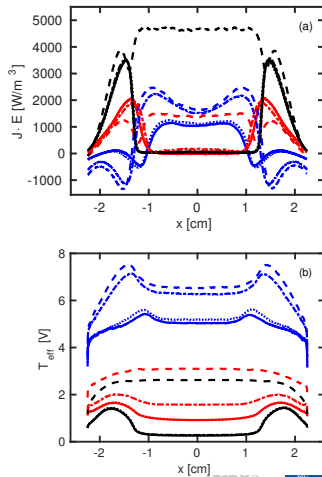
- The discharge model also includes energy dependent secondary electron emission yield
- We have compiled experimental data from the literature on secondary electron emission yields for the species  $O_2^+$ ,  $O^+$ ,  $O_2$  and  $O$  bombarding various metals and substances
- A fit was made through the available experimental data



# Oxygen CCP – pressure dependence

- The number of cold electrons increases and negative ion density decreases as the metastables  $O_2(a^1\Delta_g)$  and  $O_2(b^1\Sigma_g)$  are added to the discharge model
- The electron heating in the bulk drops to zero at the higher pressures
- The effective electron temperature profile changes significantly when detachment by singlet metastables is added to the reaction set
- **10 mTorr**, **50 mTorr** and **200 mTorr**

Gudmundsson and Hannesdottir, AIP Conf. Proc. **1811** 120001 (2017)



# The oxygen discharge

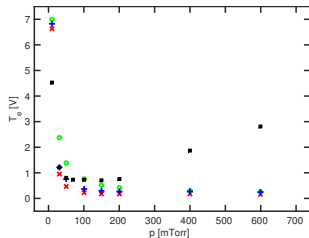
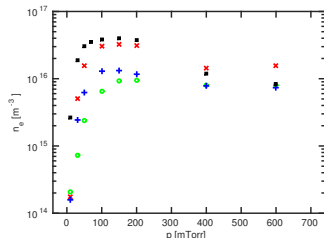
## ■ Comparison to experimental findings:

- $\circ \gamma_{\text{see}} = 0.0$ ,  
4.4 %  $\text{O}_2(\text{a}^1\Delta_g)$
- $+ \gamma_{\text{see}} = 0.0$ ,  
4.4 %  $\text{O}_2(\text{a}^1\Delta_g)$  and 4.4 %  $\text{O}_2(\text{b}^1\Sigma_g)$
- $\times \gamma_{\text{see}} = \gamma_{\text{see}}(E)$ ,  
4.4 %  $\text{O}_2(\text{a}^1\Delta_g)$  and 4.4 %  $\text{O}_2(\text{b}^1\Sigma_g)$

## ■ ■ Experimental findings by Kechkar

(S. Kechkar, Ph.D. Thesis, Dublin City University, January 2015)

Hannesdottir and Gudmundsson (2016) PSST **25** 055002



# Capacitively Coupled Oxygen Discharge single frequency at 13.56 MHz

– pressure dependence –

including  $\text{O}_2(\text{a}^1\Delta_g)$ ,  $\text{O}_2(\text{b}^1\Sigma_g)$  and  $\gamma_{\text{see}}(E)$



# *Oxygen CCP – pressure dependence*

- We apply a voltage source with a single frequency

$$V(t) = V_{\text{rf}} \sin(2\pi ft)$$

- The electrodes are circular with a diameter of 14.36 cm
- The gap between the electrodes is 4.5 cm
- We set  $V_{\text{rf}} = 222$  V and  $f = 13.56$  MHz
- The neutrals ( $\text{O}_2$  and O and the metastables) are treated as background gas at  $T_g = 300$  K with a Maxwellian distribution
- If the kinetic energy of the neutrals reaches a certain threshold they are tracked
- The dissociation fraction and the metastable fraction is found using a global model

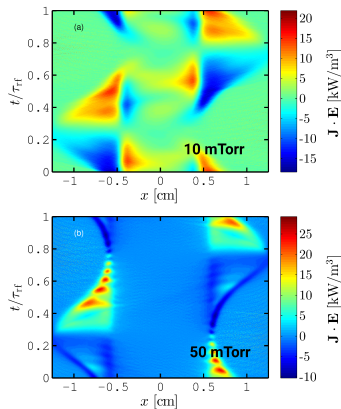


# Oxygen CCP – pressure dependence

- The spatio-temporal electron heating  $\mathbf{J}_e \cdot \mathbf{E}$  at 10 and 50 mTorr
- At 10 mTorr there is a significant electron heating within the electronegative core
- At 50 mTorr the electron heating occurs almost solely in the sheath region

Hannesdottir and Gudmundsson (2016) PSST, **25** 055002

Gudmundsson and Ventéjou (2015) JAP **118** 153302

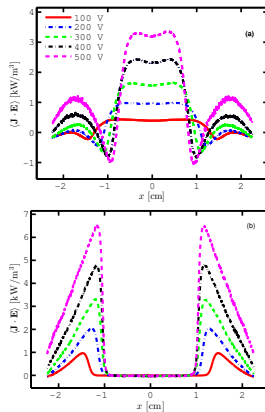


Gudmundsson and Snorrason (2017) JAP **122** 193302



# Oxygen CCP – pressure dependence

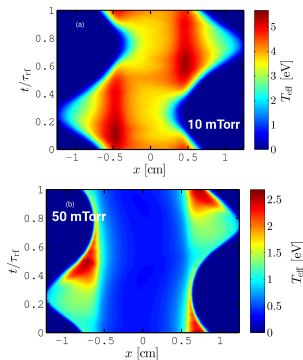
- The time averaged electron heating  $\langle \mathbf{J}_e \cdot \mathbf{E} \rangle$  at 10 and 50 mTorr
- At 10 mTorr there is significant electron heating within the electronegative core
- At 50 mTorr, the heating rate in the electronegative core is roughly zero, and electron heating is almost entirely located in the sheath regions



Gudmundsson and Snorrason (2017) JAP **122** 193302

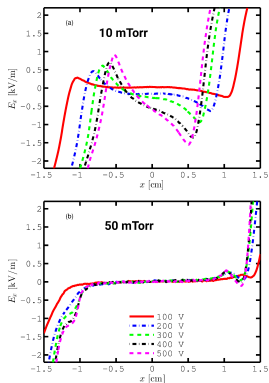
# Oxygen CCP – pressure dependence

- At 10 mTorr the effective electron temperature is high within the plasma bulk (the electronegative core) throughout the rf period and peaks within the plasma bulk during the sheath collapse phase
- At 50 mTorr a peak in the effective electron temperature within the plasma bulk in the sheath expansion phase and is low within the plasma bulk throughout the rf period



# Oxygen CCP – pressure dependence

- The axial electric field at  $t/\tau_{\text{rf}} = 0.5$  for both 10 and 50 mTorr
- At 10 mTorr there is a significant electric field strength within the electronegative core
- This strong electric field within the plasma bulk (the electronegative core) indicates a drift-ambipolar (DA) heating mode
- This electric field is a combination of a drift field and an ambipolar field
- At 50 mTorr the electric field is zero within the electronegative core

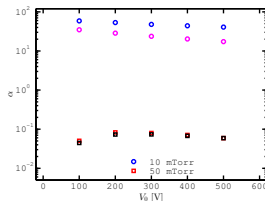


Gudmundsson and Snorrason (2017) JAP 122 193302



# Oxygen CCP – pressure dependence

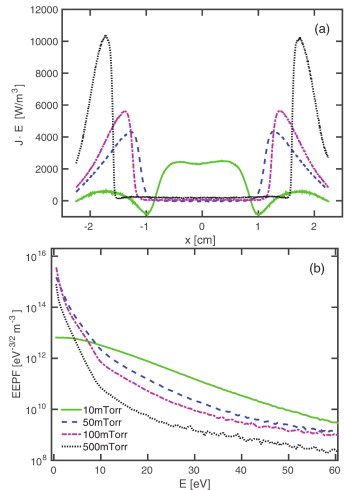
- The electronegativity is significantly higher when operating at 10 mTorr than when operating at 50 mTorr
- At 10 mTorr, the discharge is operated in a combined drift-ambipolar (DA) and  $\alpha$ -mode
- At 50 mTorr, the discharge is in a pure  $\alpha$ -mode and sheath heating dominates
- The transition from the combined DA- $\alpha$ -mode to the pure  $\alpha$ -mode coincides with a significant decrease in the electronegativity



Gudmundsson and Snorrason (2017) JAP **122** 193302

# Oxygen CCP – pressure dependence

- At low pressure the EEPF is convex, the population of low energy electrons is relatively low
- As the pressure is increased the number of low energy electrons increases and the number of higher energy electrons ( $> 10$  eV) decreases
- Thus the EEPF develops a concave shape or becomes bi-Maxwellian as the pressure is increased



Hannesdottir and Gudmundsson (2016) PSST, **25** 055002

Gudmundsson and Ventéjou (2015) JAP **118** 153302

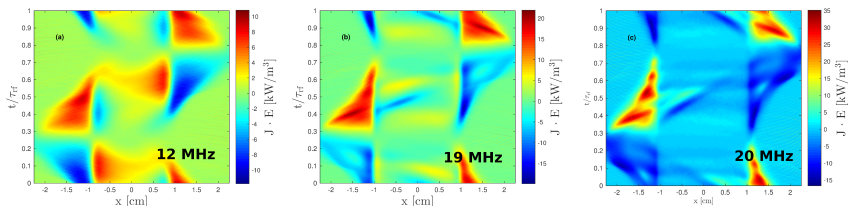
# Capacitively Coupled Oxygen Discharge single frequency at 10 mTorr

– driving frequency dependence –

including  $\text{O}_2(\text{a}^1\Delta_g)$ ,  $\text{O}_2(\text{b}^1\Sigma_g)$  and  $\gamma_{\text{see}}(E)$



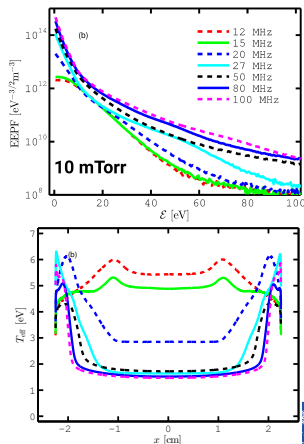
# Oxygen CCP – frequency dependence



- At 12 MHz significant heating is observed in the plasma bulk but also in the sheath region
- At 19 MHz the heating and cooling in the sheath regions has increased, however there is contribution to the electron heating in the bulk region (note the change in scale)
- At 20 MHz there is almost no electron heating in the plasma bulk

# Oxygen CCP – frequency dependence

- At low driving frequency the EEPF is convex, the population of low energy electrons is relatively low
- The EEPF remains convex for driving frequency up to 15 MHz and has transitioned to concave or bi-Maxwellian shape at 20 MHz
- Increasing the driving frequency enhances the high energy tail as the number of high energy electrons increases

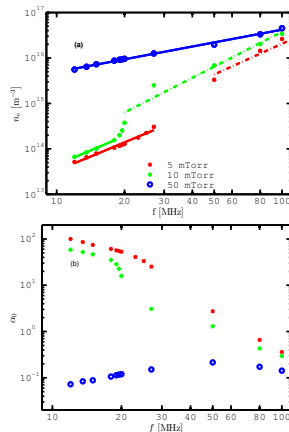


Gudmundsson et al. (2018) PSST 27 025009



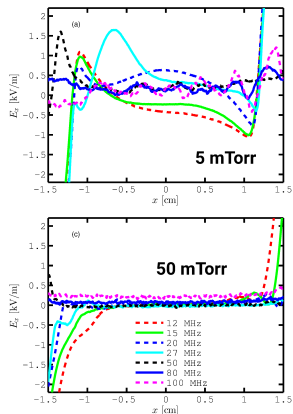
# Oxygen CCP – frequency dependence

- At 10 mTorr there is a jump in the center electron density between 20 and 27 MHz
- At 10 mTorr  $n_e \propto f^{2.11}$  at low frequency, below 18 MHz, and  $n_e \propto f^{2.00}$  at higher frequencies, 27.12 MHz and above
- At 50 mTorr  $n_e \propto f^{1.16}$  over the entire frequency range explored and no transition is observed
- We see that at 5 and 10 mTorr the electronegativity decreases with increasing driving frequency



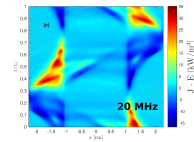
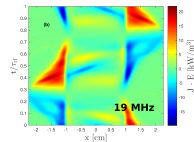
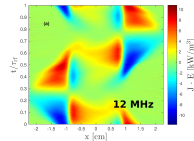
# Oxygen CCP – frequency dependence

- The electric field profile at  $t/\tau_{rf} = 0.5$  for discharges operated at 5 and 50 mTorr
- We see a significant electric field strength within the electronegative core at low driving frequency and low pressure
- The strong electric field within the plasma bulk (the electronegative core), at low pressure and low driving frequency, indicates a drift-ambipolar (DA) heating mode



# Oxygen CCP – frequency dependence

- At a low driving frequency and low pressure (5 and 10 mTorr), a combination of stochastic ( $\alpha$ -mode) and drift ambipolar (DA) heating in the bulk plasma (the electronegative core) is observed
- The DA-mode dominates the time averaged electron heating
- As the driving frequency is increased, the heating mode transitions into a pure  $\alpha$ -mode
- At low pressure (5 and 10 mTorr), this transition coincides with a sharp decrease in electronegativity



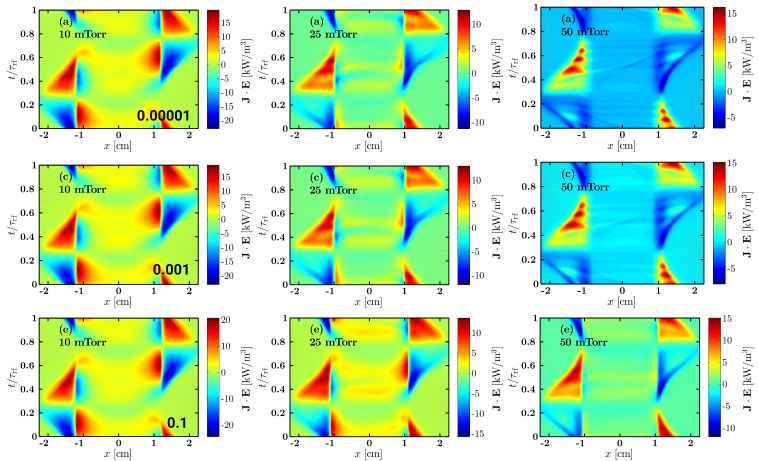
# Capacitively Coupled Oxygen Discharge

– surface quenching of  $\text{O}_2(\text{a}^1\Delta_g)$  –

including  $\text{O}_2(\text{a}^1\Delta_g)$ ,  $\text{O}_2(\text{b}^1\Sigma_g)$  and  $\gamma_{\text{see}}(E)$

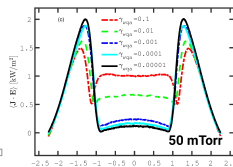
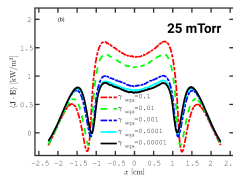
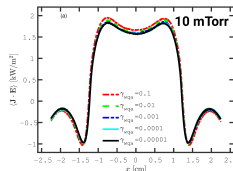


# Oxygen CCP – surface quenching of $O_2(a^1\Delta_g)$



# Oxygen CCP – surface quenching of $O_2(a^1\Delta_g)$

- At 10 mTorr almost all the electron heating occurs in the plasma bulk (the electronegative core) and the electron heating profile is independent of the surface quenching coefficient
- At 50 mTorr only for the highest surface quenching coefficients 0.1 and 0.01 there is some electron heating observed in the bulk region
- Typical value is 0.007 for iron (Sharpless and Slinger, 1989)



Proto and Gudmundsson (2018) PSST 27 074002 (2018)

# Capacitively Coupled Oxygen Discharge

– the effect of  $\gamma_{\text{see}}(E)$  –

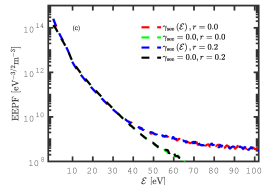
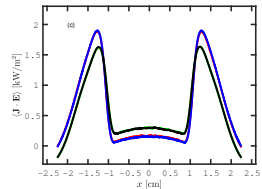
including  $\text{O}_2(\text{a}^1\Delta_g)$ ,  $\text{O}_2(\text{b}^1\Sigma_g)$  and  $\gamma_{\text{see}}(E)$



# Oxygen CCP – the effect of $\gamma_{\text{see}}(E)$

- Adding secondary electron emission yield
  - increases the electron density
  - increases the electron heating rate in the sheath region
  - the sheath region becomes narrower
  - a high energy tail appears in the EEPF

Hannesdottir and Gudmundsson, PSST, **25** 055002 (2016)

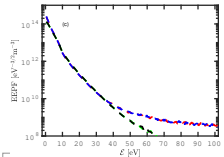
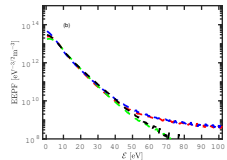
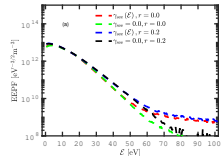




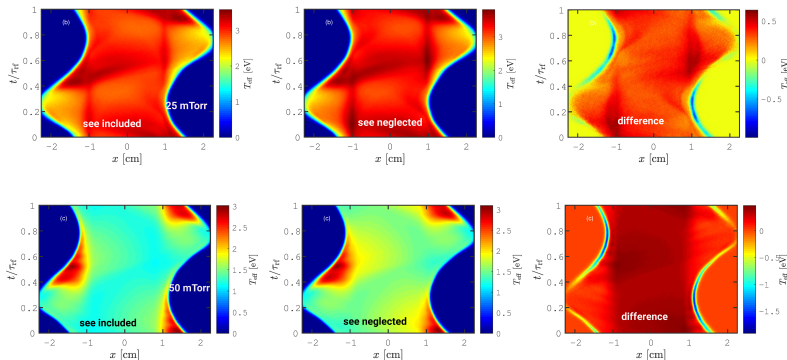
# Oxygen CCP – the effect of $\gamma_{\text{see}}(E)$

- At low pressure (10 mTorr) including electron reflection from the electrodes further enhances the number of high energy electrons
- At higher pressure (50 mTorr) the electron reflection from the electrodes has negligible effects

Proto and Gudmundsson (2018) Atoms (submitted September 2018)



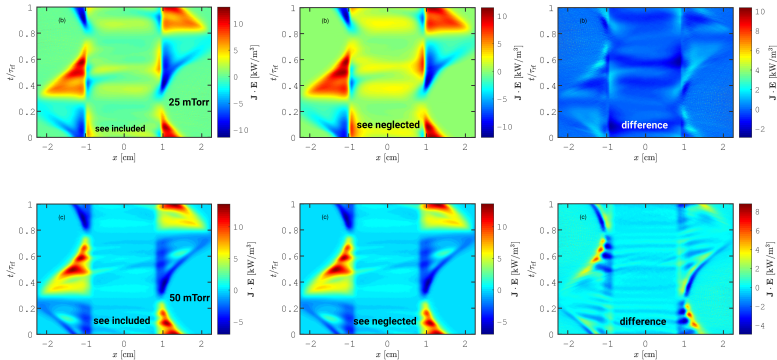
# Oxygen CCP – the effect of $\gamma_{\text{see}}(E)$



Proto and Gudmundsson (2018) Atoms (submitted September 2018)

- Including secondary electron emission increases the electron energy

# Oxygen CCP – the effect of $\gamma_{\text{see}}(E)$



Proto and Gudmundsson (2018) Atoms (submitted September 2018)

- Including secondary electron emission decreases the electron power absorption



# Summary



# Summary

- We demonstrated particle-in-cell/Monte Carlo collision simulation of a capacitively coupled discharge
- Including the detachment processes by the singlet metastable states has a strong influence on the effective electron temperature and electronegativity in the oxygen discharge
- At low pressure the discharge is operated in a combined drift-ambipolar (DA) and  $\alpha$ -mode, and at higher pressure it is operated in the pure  $\alpha$ -mode



# Acknowledgements

Thank you for your attention

The slides can be downloaded at

<http://langmuir.raunvis.hi.is/~tumi/ranns.html>

Various parts of this work were made by

- Shuo Huang (UM-SJTU, Shanghai now University of Michigan)
- Bruno Ventéjou (LPGP, Université Paris-Sud, Orsay, France)
- Hólmfríður Hannedóttir (University of Iceland now Harvard University)
- Davíð I. Snorrason (University of Iceland now Reykjavík University)
- Andrea Proto (University of Iceland)

in collaboration with

- prof. Michael A. Lieberman (UC Berkeley)
- Dr. Emi Kawamura (UC Berkeley)

The work was funded by Icelandic Research Fund grants nos. 130029 and 163086 and the Swedish Government Agency for Innovation Systems (VINNOVA) contract no. 2014-04876



# References

- Gudmundsson, J. T., E. Kawamura, and M. A. Lieberman (2013). A benchmark study of a capacitively coupled oxygen discharge of the oopd1 particle-in-cell Monte Carlo code. *Plasma Sources Science and Technology* 22(3), 035011.
- Gudmundsson, J. T. and M. A. Lieberman (2015). On the role of metastables in capacitively coupled oxygen discharges. *Plasma Sources Science and Technology* 24(3), 035016.
- Gudmundsson, J. T. and D. I. Snorrason (2017). On electron heating in a low pressure capacitively coupled oxygen discharge. *Journal of Applied Physics* 122(19), 193302.
- Gudmundsson, J. T., D. I. Snorrason, and H. Hannesdottir (2018). The frequency dependence of the discharge properties in a capacitively coupled oxygen discharge. *Plasma Sources Science and Technology* 27(2), 025009.
- Gudmundsson, J. T. and H. Hannesdottir (2017). On the significance of metastable states in low pressure oxygen discharges. *AIP Conference Proceedings* 1811, 120001.
- Gudmundsson, J. T. and B. Ventéjou (2015). The pressure dependence of the discharge properties in a capacitively coupled oxygen discharge. *Journal of Applied Physics* 118(15), 153302.
- Hannesdottir, H. and J. T. Gudmundsson (2016). The role of the metastable  $O_2(b^1\Sigma_g^+)$  and energy-dependent secondary electron emission yields in capacitively coupled oxygen discharges. *Plasma Sources Science and Technology* 25(5), 055002.
- Kechkar., S. (2015, January). *Experimental investigation of a low pressure capacitively-coupled discharge*. Ph. D. thesis, Dublin City University.
- Proto, A. and J. T. Gudmundsson (2018). The role of surface quenching of the singlet delta molecule in a capacitively coupled oxygen discharge. *Plasma Sources Science and Technology* 27(7), 074002.
- Proto, A. and J. T. Gudmundsson (2018). The influence of secondary electron emission on a capacitively coupled oxygen discharge. *Atoms* (submitted September 2018).
- Thorsteinsson, E. G. and J. T. Gudmundsson (2010). The low pressure  $Cl_2/O_2$  discharge and the role of ClO. *Plasma Sources Science and Technology* 19(5), 055008.
- Sharpless, R. L. and T. G. Slinger (1989). Surface chemistry of metastable oxygen. II. Destruction of  $O_2(a^1\Delta_g)$ . *Journal of Chemical Physics* 91(12), 7947 – 7950.

