

Electron heating in electronegative capacitively coupled discharge of complex chemistry

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Introduction

- Oxygen forms a weakly electronegative discharge
- The oxygen chemistry is rather involved, in particular due to the presence of **metastable molecular and atomic** oxygen and their role in dissociative attachment and detachment processes
- We use the `oopd1` (objective oriented plasma device for one dimension) **particle-in-cell Monte Carlo collision** code to simulate the discharge
- It has 1 dimension in space and 3 velocity components for particles (1d-3v)
- It is developed to simulate various types of plasmas, including processing discharges, accelerators and beams
 - Modular structure
 - Includes relativistic kinematics
 - Particles can have different weights



- The oxygen discharge
- Capacitively Coupled Oxygen Discharge at 13.56 MHz
 - including both $O_2(a^1\Delta_g)$ and $O_2(b^1\Sigma_g)$
 - including secondary electron emission
- Pressure dependence
- Frequency dependence
- Dependence on surface quenching of $O_2(a^1\Delta_g)$
- The effect of $\gamma_{\text{see}}(E)$
- Summary



The oxygen discharge



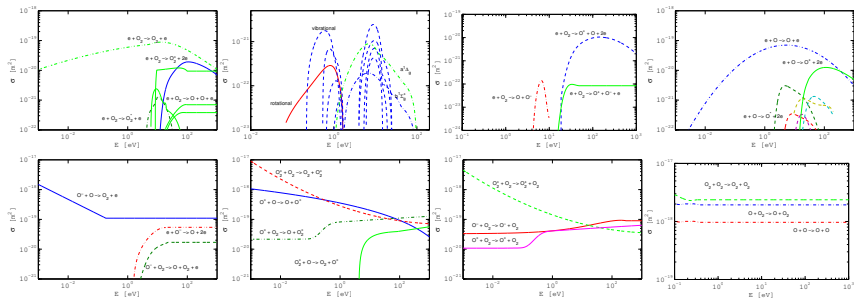
The oxygen discharge

- We consider a discharge that consists of:
 - electrons
 - the ground state oxygen molecule $O_2(X^3\Sigma_g^-)$
 - the metastable oxygen molecule $O_2(a^1\Delta_g)$
 - the metastable oxygen molecule $O_2(b^1\Sigma_g)$
 - the ground state oxygen atom $O(^3P)$
 - the metastable oxygen atom $O(^1D)$
 - the negative oxygen ion O^-
 - the positive oxygen ions O^+ and O_2^+
- The discharge model includes energy dependent secondary electron emission yield
- We assume a parallel plate capacitively coupled oxygen discharge at with electrode separation of 4.5 cm
- We apply a global model¹ beforehand to calculate the partial pressure of the various neutrals

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The oxygen discharge



- The reaction set for the oxygen is comprehensive and for this study includes up to 67 reactions

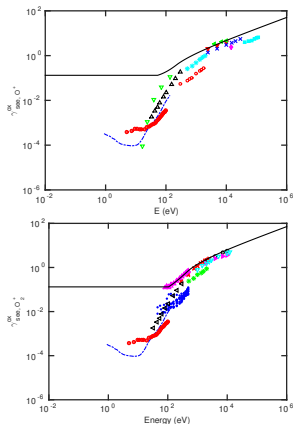
Gudmundsson et al., *Plasma Sources Sci. Technol.*, **22** 035011 (2013)

Gudmundsson and Lieberman, *Plasma Sources Sci. Technol.*, **24** 035016 (2015)

Hannesdottir and Gudmundsson, *Plasma Sources Sci. Technol.*, **25** 055002 (2016)

The oxygen discharge

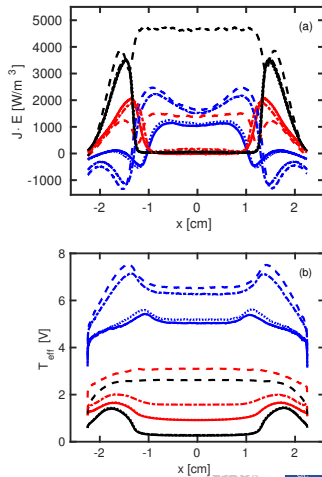
- The discharge model also includes energy dependent secondary electron emission yield
- We have compiled experimental data from the literature on secondary electron emission yields for the species O_2^+ , O^+ , O_2 and O bombarding various metals and substances
- A fit was made through the available experimental data



Oxygen CCP – pressure dependence

- The number of cold electrons increases and negative ion density decreases as the metastables $O_2(a^1\Delta_g)$ and $O_2(b^1\Sigma_g)$ are added to the discharge model
- The electron heating in the bulk drops to zero at the higher pressures
- The effective electron temperature profile changes significantly when detachment by singlet metastables is added to the reaction set
- **10 mTorr**, **50 mTorr** and **200 mTorr**

Gudmundsson and Hannesdottir, AIP Conf. Proc. 1811 120001 (2017)



The oxygen discharge

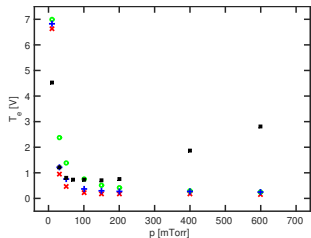
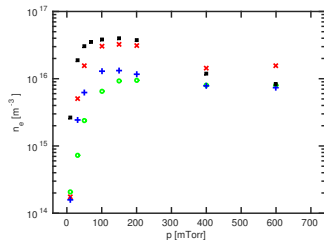
■ Comparison to experimental findings:

- ○ $\gamma_{\text{see}} = 0.0$,
4.4 % O₂(a¹Δ_g)
- + $\gamma_{\text{see}} = 0.0$,
4.4 % O₂(a¹Δ_g) and 4.4 % O₂(b¹Σ_g)
- × $\gamma_{\text{see}} = \gamma_{\text{see}}(E)$,
4.4 % O₂(a¹Δ_g) and 4.4 % O₂(b¹Σ_g)

■ ■ Experimental findings by Kechkar

(S. Kechkar, Ph.D. Thesis, Dublin City University, January 2015)

Hannesdottir and Gudmundsson (2016) PSST **25** 055002



Capacitively Coupled Oxygen Discharge single frequency at 13.56 MHz

– pressure dependence –

including $\text{O}_2(\text{a}^1\Delta_g)$, $\text{O}_2(\text{b}^1\Sigma_g)$ and $\gamma_{\text{see}}(E)$



Oxygen CCP – pressure dependence

- We apply a voltage source with a single frequency

$$V(t) = V_{\text{rf}} \sin(2\pi ft)$$

- The electrodes are circular with a diameter of 14.36 cm
- The gap between the electrodes is 4.5 cm
- We set $V_{\text{rf}} = 222$ V and $f = 13.56$ MHz
- The neutrals (O_2 and O and the metastables) are treated as background gas at $T_g = 300$ K with a Maxwellian distribution
- If the kinetic energy of the neutrals reaches a certain threshold they are tracked
- The dissociation fraction and the metastable fraction is found using a global model

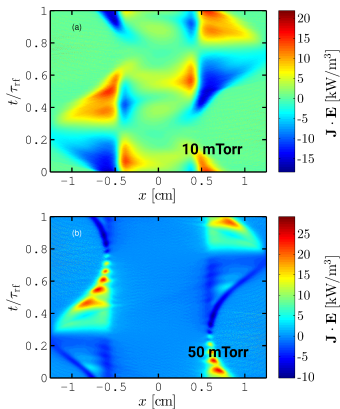


Oxygen CCP – pressure dependence

- The spatio-temporal electron heating $\mathbf{J}_e \cdot \mathbf{E}$ at 10 and 50 mTorr
- At 10 mTorr there is a significant electron heating within the electronegative core
- At 50 mTorr the electron heating occurs almost solely in the sheath region

Hannesdottir and Gudmundsson (2016) PSST, **25** 055002

Gudmundsson and Ventéjou (2015) JAP **118** 153302

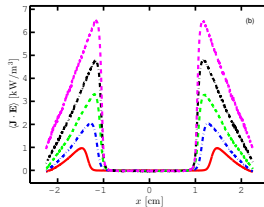
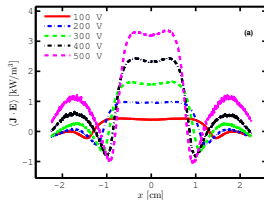


Gudmundsson and Snorrason (2017) JAP **122**



Oxygen CCP – pressure dependence

- The time averaged electron heating $\langle \mathbf{J}_e \cdot \mathbf{E} \rangle$ at 10 and 50 mTorr
- At 10 mTorr there is significant electron heating within the electronegative core
- At 50 mTorr, the heating rate in the electronegative core is roughly zero, and electron heating is almost entirely located in the sheath regions

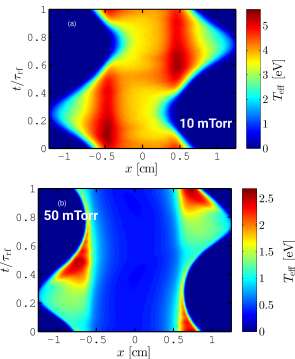


Gudmundsson and Snorrason (2017) JAP **122** 193302



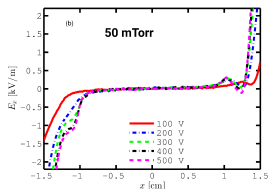
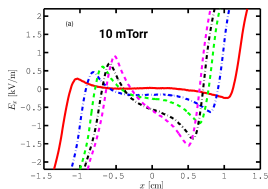
Oxygen CCP – pressure dependence

- At 10 mTorr the effective electron temperature is high within the plasma bulk (the electronegative core) throughout the rf period and peaks within the plasma bulk during the sheath collapse phase
- At 50 mTorr a peak in the effective electron temperature within the plasma bulk in the sheath expansion phase and is low within the plasma bulk throughout the rf period



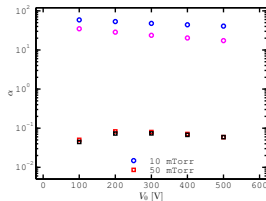
Oxygen CCP – pressure dependence

- The axial electric field at $t/\tau_{rf} = 0.5$ for both 10 and 50 mTorr
- At 10 mTorr there is a significant electric field strength within the electronegative core
- This strong electric field within the plasma bulk (the electronegative core) indicates a drift-ambipolar (DA) heating mode
- This electric field is a combination of a drift field and an ambipolar field
- At 50 mTorr the electric field is zero within the electronegative core



Oxygen CCP – pressure dependence

- The electronegativity is significantly higher when operating at 10 mTorr than when operating at 50 mTorr
- At 10 mTorr, the discharge is operated in a combined drift-ambipolar (DA) and α -mode
- At 50 mTorr, the discharge is in a pure α -mode and sheath heating dominates
- The transition from the combined DA- α -mode to the pure α -mode coincides with a significant decrease in the electronegativity

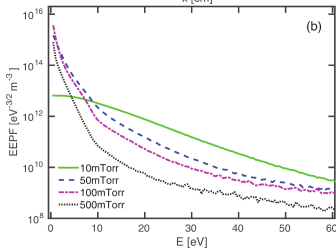
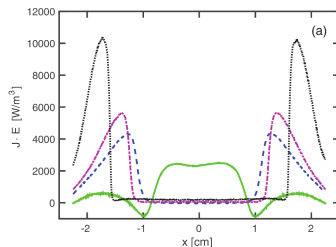


Gudmundsson and Snorrason (2017) JAP **122** 193302



Oxygen CCP – pressure dependence

- At low pressure the EEPF is convex, the population of low energy electrons is relatively low
- As the pressure is increased the number of low energy electrons increases and the number of higher energy electrons (> 10 eV) decreases
- Thus the EEPF develops a concave shape or becomes bi-Maxwellian as the pressure is increased



Hannesdottir and Gudmundsson (2016) PSST, **25** 055002

Gudmundsson and Ventéjou (2015) JAP **118** 153302

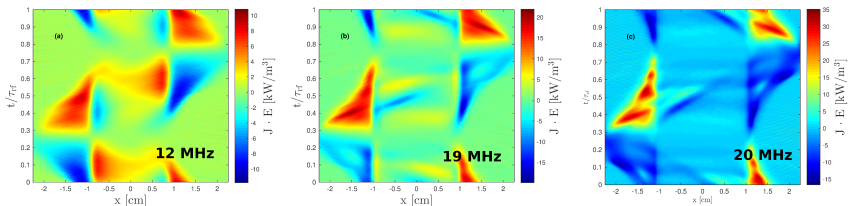
Capacitively Coupled Oxygen Discharge single frequency at 10 mTorr

– driving frequency dependence –

including $\text{O}_2(\mathbf{a}^1\Delta_g)$, $\text{O}_2(\mathbf{b}^1\Sigma_g)$ and $\gamma_{\text{see}}(E)$



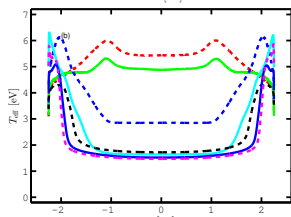
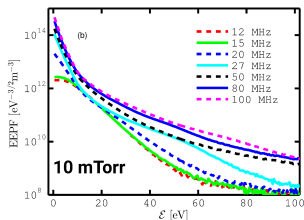
Oxygen CCP – frequency dependence



- At 12 MHz significant heating is observed in the plasma bulk but also in the sheath region
- At 19 MHz the heating and cooling in the sheath regions has increased, however there is contribution to the electron heating in the bulk region (note the change in scale)
- At 20 MHz there is almost no electron heating in the plasma bulk

Oxygen CCP – frequency dependence

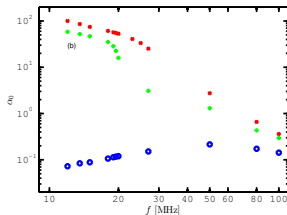
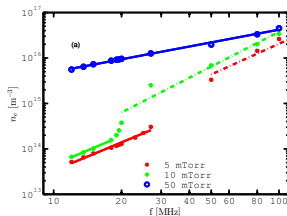
- At low driving frequency the EEPF is convex, the population of low energy electrons is relatively low
- The EEPF remains convex for driving frequency up to 15 MHz and has transitioned to concave or bi-Maxwellian shape at 20 MHz
- Increasing the driving frequency enhances the high energy tail as the number of high energy electrons increases



Gudmundsson et al. (2018) PSST 27 025009

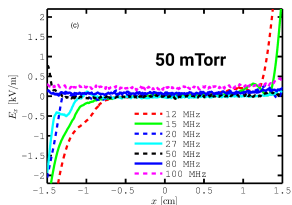
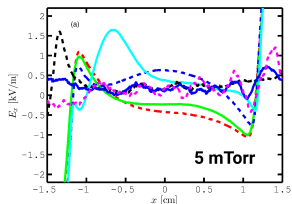
Oxygen CCP – frequency dependence

- At 10 mTorr there is a jump in the center electron density between 20 and 27 MHz
- At 10 mTorr $n_e \propto f^{2.11}$ at low frequency, below 18 MHz, and $n_e \propto f^{2.00}$ at higher frequencies, 27.12 MHz and above
- At 50 mTorr $n_e \propto f^{1.16}$ over the entire frequency range explored and no transition is observed
- We see that at 5 and 10 mTorr the electronegativity decreases with increasing driving frequency



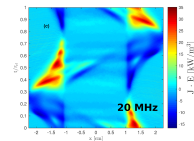
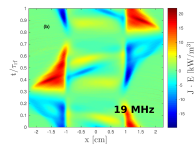
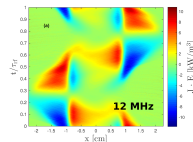
Oxygen CCP – frequency dependence

- The electric field profile at $t/\tau_{rf} = 0.5$ for discharges operated at 5 and 50 mTorr
- We see a significant electric field strength within the electronegative core at low driving frequency and low pressure
- The strong electric field within the plasma bulk (the electronegative core), at low pressure and low driving frequency, indicates a drift-ambipolar (DA) heating mode



Oxygen CCP – frequency dependence

- At a low driving frequency and low pressure (5 and 10 mTorr), a combination of stochastic (α -mode) and drift ambipolar (DA) heating in the bulk plasma (the electronegative core) is observed
- The DA-mode dominates the time averaged electron heating
- As the driving frequency is increased, the heating mode transitions into a pure α -mode
- At low pressure (5 and 10 mTorr), this transition coincides with a sharp decrease in electronegativity



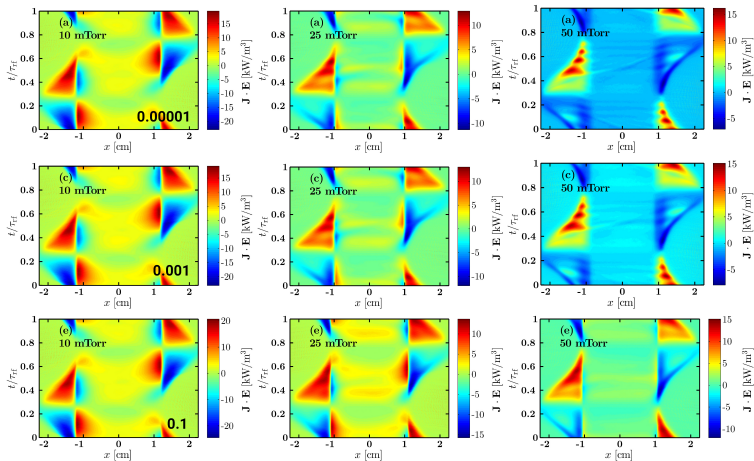
Capacitively Coupled Oxygen Discharge

– surface quenching of $\text{O}_2(\text{a}^1\Delta_g)$ –

including $\text{O}_2(\text{a}^1\Delta_g)$, $\text{O}_2(\text{b}^1\Sigma_g)$ and $\gamma_{\text{see}}(E)$



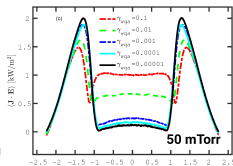
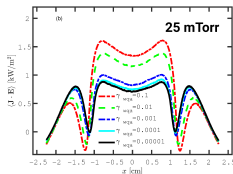
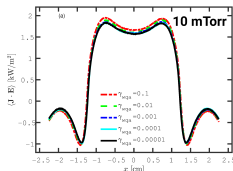
Oxygen CCP – surface quenching of $O_2(a^1\Delta_g)$



Oxygen CCP – surface quenching of $O_2(a^1 \Delta_g)$

- At 10 mTorr almost all the electron heating occurs in the plasma bulk (the electronegative core) and the electron heating profile is independent of the surface quenching coefficient
- At 50 mTorr only for the highest surface quenching coefficients 0.1 and 0.01 there is some electron heating observed in the bulk region
- Typical value is 0.007 for iron (Sharpless and Slinger, 1989)

Proto and Gudmundsson (2018) PSST 27 074002 (2018)



Capacitively Coupled Oxygen Discharge

– the effect of $\gamma_{\text{see}}(E)$ –

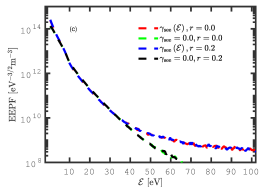
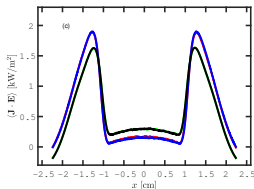
including $\text{O}_2(\text{a}^1\Delta_g)$, $\text{O}_2(\text{b}^1\Sigma_g)$ and $\gamma_{\text{see}}(E)$



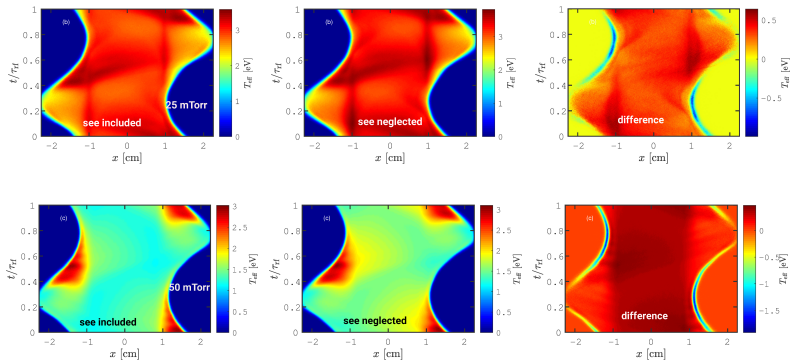
Oxygen CCP – the effect of $\gamma_{\text{see}}(E)$

- Adding secondary electron emission yield
 - increases the electron density
 - increases the electron heating rate in the sheath region
 - the sheath region becomes narrower
 - a high energy tail appears in the EEPF

Hannesdottir and Gudmundsson, PSST, **25** 055002 (2016)

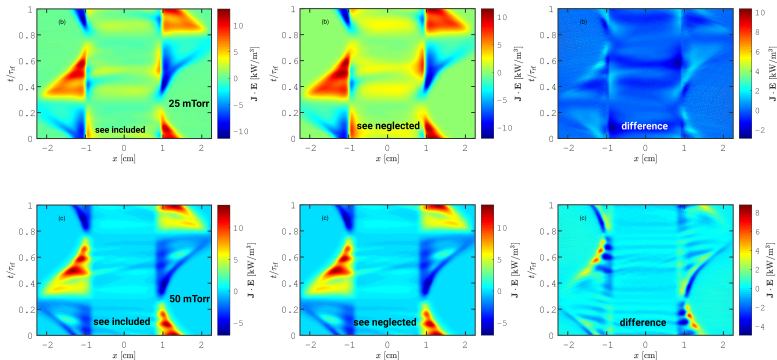


Oxygen CCP – the effect of $\gamma_{\text{see}}(E)$



- Including secondary electron emission increases the electron energy

Oxygen CCP – the effect of $\gamma_{\text{see}}(E)$



- Including secondary electron emission decreases the electron power absorption



Summary



Summary

- We demonstrated particle-in-cell/Monte Carlo collision simulation of a capacitively coupled discharge
- Including the detachment processes by the singlet metastable states has a strong influence on the effective electron temperature and electronegativity in the oxygen discharge
- At low pressure the discharge is operated in a combined drift-ambipolar (DA) and α -mode, and at higher pressure it is operated in the pure α -mode



Acknowledgements

Thank you for your attention

The slides can be downloaded at

<http://langmuir.raunvis.hi.is/~tumi/ranns.html>

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