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# Introduction







- Magnetron sputtering has been the workhorse of plasma based sputtering methods for over three decades
- For many applications a high degree of ionization of the sputtered vapor is desired
- In a HiPIMS discharge a high power pulse is supplied for a short period
  - Iow frequency
  - Iow duty cycle
  - Iow average power
- Ionized flux of sputtered vapor introduces an additional control parameter into the deposition process



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# Introduction

- High power pulsed magnetron sputtering (HPPMS)
- HiPIMS
  - a pulse of very high amplitude, an impulse, is applied to the cathode and a long pause exists between the pulses
- Modulated pulse power (MPP)
  - the initial stages of the pulse (few hundred μs) the power level is moderate (typical for a dcMS) followed by a high power pulse (few hundred μs up to a ms)



From Gudmundsson et al. (2012), JVSTA 30 030801

- Power density limits
  - $p_{\rm t} = 0.05 \, \rm kW/cm^2 \, \rm dcMS \, limit$
  - $p_t = 0.5 \text{ kW/cm}^2 \text{ HiPIMS limit}$

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# **Reactive HiPIMS - Applications**



# Application – Film Resistivity

- TiN as diffusion barriers for interconnects
- HiPIMS deposited films have significantly lower resistivity than dcMS deposited films on SiO<sub>2</sub> at all growth temperatures due to reduced grain boundary scattering
- Thus, ultrathin continuous TiN films with superior electrical characteristics and high resistance towards oxidation can be obtained with HiPIMS at reduced temperatures



From Magnus et al. (2012) IEEE EDL 33 1045



# Application – Bragg mirror

- Multilayer structures containing a high-contrast (TiO<sub>2</sub>/SiO<sub>2</sub>) Bragg mirror fabricated on fused-silica substrates
  - reactive HiPIMS TiO<sub>2</sub> (88 nm)
  - reactive dcMS SiO<sub>2</sub> (163 nm)
  - capped with semitransparent gold
- Rutile TiO<sub>2</sub> (n = 2.59) and SiO<sub>2</sub> (n = 1.45) provide a large index contrast
- Smooth rutile TiO<sub>2</sub> films can be obtained by HiPIMS at relatively low growth temperatures, without post-annealing

Magnus et al. (2011) Mater. Res. Soc. Symp. Proc. Vol. 1352



From Leosson et al. (2012) Opt. Lett. 37 4026

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# Reactive HiPIMS - Voltage - Current - Time characteristics



- To describe the discharge current-voltage characteristics the current-voltage-time space is required
- The early work on HiPIMS used 50 – 100 μs pulses and a pulse repetition frequency in the range 50–1000 Hz
- The cathode voltage and the discharge current depend on the discharge gas pressure



- For longer pulses the initial pressure dependent current peak is followed by a second phase that is power and material dependent
- The initial phase is dominated by gas ions, whereas the later phase has a strong contribution from self-sputtering
- For some materials, the discharge switches into a mode of sustained self-sputtering





- A schematic illustration of the discharge current assuming square shaped voltage pulses
- The current is generally characterized by an initial peak followed by a more or less stable current plateau (bottom current curves)
- In other cases it shows an initial peak followed by a second increase of the discharge current (top current curves)



From Gudmundsson et al. (2012), JVSTA 30 030801



- The self-sputtering can operate in a self-sustained mode, when the ions of the sputtered vapor are created at high enough rate that the ions of the working gas are not needed
- The condition for sustained self-sputtering is expressed as

$$\Pi_{\rm ss} = \alpha \beta_{\rm t} \, \mathbf{Y}_{\rm ss} = \mathbf{1}$$

where

- $\bullet \ \alpha$  is the probability of ionization of the sputtered atom
- β<sub>t</sub> in the probability that the newly formed ion of the sputtered vapor returns to the target
- Y<sub>ss</sub> is the self-sputter yield of the ion
- This is a steady state situation and the current remains constant



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- The bottom curve represents a range of low self-sputtering,  $\Pi_{ss} < 0.1$  and the discharge physics in the plateau/runaway phase is dcMS-like
- The middle range of power densities, with  $0.1 < \Pi_{ss} < 1$ , represents partially self-sputtering discharge
- The top curve represents self-sputtering runaway which requires  $\Pi_{ss} > 1$  and a self-sputter yield  $Y_{ss} > 1/(\alpha\beta_t) > 1$



From Gudmundsson et al. (2012), JVSTA 30 030801



- Ar discharge with Ti target
- The initial peak in current results large flux of atoms from the target
- Collisions of the sputtered atoms with the working gas result in heating and expansion of the working gas – rarefaction
- A significant fraction of the sputtered atoms experience electron impact ionization (the ionization mean free path ~ 1 cm) and are attracted back to the target to participate in the sputtering process – self-sputtering





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- During reactive sputtering, a reactive gas is added to the inert working gas
- The current waveform in the reactive Ar/N<sub>2</sub> HiPIMS discharge is highly dependent on the pulse repetition frequency, unlike for pure Ar
- N<sub>2</sub> addition changes the plasma composition and the target condition can also change due to the formation of a compound on its surface



From Magnus et al. (2011) JAP 110 083306

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#### HiPIMS - Voltage - Current - time

- Similarly for the Ar/O<sub>2</sub> discharge, the current waveform is highly dependent on the repetition frequency and applied voltage which is linked to oxide formation on the target
- The current is found to increase significantly as the frequency is lowered



From Magnus et al. (2012), JVSTA 30 050601



#### HiPIMS - Voltage - Current - time

 The observed changes in the discharge current are reflected in the flux of ions impinging on the substrate





From Magnus et al. (2011), JAP 110 083306



• The discharge current  $I_d$  is the sum of the ion current  $I_i$  and the secondary electron current  $I_i\gamma_{\rm SE}$  or

$$I_{\rm d} = I_{\rm i}(1 + \gamma_{\rm SE})$$

where  $\gamma_{\rm SE}$  is the secondary electron emission coefficient of the target material

Also

$$\textit{I}_{i} \propto \textit{n}_{i} \propto \frac{1}{\mathcal{E}_{T}}$$

- The total energy loss per electron-ion pair lost from the system  $\mathcal{E}_{\rm T}$  is expected to increase with the addition of nitrogen
- We must turn to the secondary electron emission yield to explain the self-sputtering runaway and observed frequency dependence of the current in the reactive discharge



- HiPIMS differs significantly from dcMS, due to the fact that self-sputtering quickly becomes dominant and the working gas ions (mostly Ar<sup>+</sup> and N<sub>2</sub><sup>+</sup> or O<sub>2</sub><sup>+</sup>) are depleted from the area in front of the target, due to rarefaction
- The secondary electron emission yield is governed by the composition of the target (Ti or TiN or TiO<sub>2</sub>) and the type of ions that are bombarding it





- $\gamma_{\rm SE}$  is practically zero for singly charged metal ions impacting a target of the same metal
- $\gamma_{\rm SE}$  will be higher for self sputtering from a TiN or TiO<sub>2</sub> target, where N<sup>+</sup>-ions or O<sup>+</sup>-ions are also present, than for self-sputtering from a Ti target, where multiply charged Ti ions are needed to create secondary electrons





- At high frequencies, nitride or oxide is not able to form between pulses, and self-sputtering by Ti<sup>+</sup>-ions (singly and multiply charged) from a Ti target is the dominant process
- At low frequency, the long off-time results in a nitride or oxide layer being formed on the target surface and self-sputtering by Ti<sup>+</sup>- and N<sup>+</sup>-ions or O<sup>+</sup>-ions from TiN or TiO<sub>2</sub> takes place





# Summary



# Summary

- The current-voltage-time waveforms in a reactive discharge exhibit similar general characteristics as the non-reactive case
  - the current rises to a peak, then decays because of rarefaction before rising to a self-sputtering dominated phase
- At low repetition frequency, the long off-time results in a nitride or oxide layer being formed on the target surface and self-sputtering by Ti<sup>+</sup> and N<sup>+</sup> or O<sup>+</sup>-ions from TiN or TiO<sub>2</sub> takes place with an increase in secondary electron emission yield and a corresponding increase in discharge current



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#### The slides can be downloaded at

http://langmuir.raunvis.hi.is/~tumi/hipims.html

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